

**CONFLICT-OF-INTEREST CODE
FOR THE
STATE WATER RESOURCES CONTROL BOARD**

The Political Reform Act (Gov. Code, § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (Cal. Code Regs., tit. 2, § 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of Title 2, California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the **State Water Resources Control Board (State Water Board)**.

Individuals holding designated positions shall file statements of economic interests with the **State Water Board**, which will make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the statements of the State Board Executive Director and the State Water Board Members, the **State Water Board** shall make and retain a copy and forward the original of these statements to the **Fair Political Practices Commission**. All other statements shall be retained by the **State Water Board**.

NOTE: Authority Cited: Sections 81008, 87300, 87304, Government Code.
Reference: Sections 87300, et seq., Government Code.

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APPENDIX A

DESIGNATED POSITIONS		DISCLOSURE CATEGORIES
I. STATE WATER RESOURCES CONTROL BOARD		
A. Executive		
1.	State Board Members	1
2.	Executive Director	1
3.	Chief Deputy Director(s)	1
4.	Clerk to the Board	2, 4
5.	Staff Services Manager I	2, 4
6.	Delta Watermaster	1
B. Office of Research Planning and Performance		
1.	Director	1
2.	Supervisors	1
3.	Director, Training Academy	3
4.	Academy Administrator	3
C. Office of Public Participation		
1.	Director	1
D. Office of Legislative Affairs		
1.	Director	1
2.	Staff Services Manager	2, 4
E. Office of Enforcement		
1.	Director	1
2.	All Staff Counsels	1
3.	Senior Engineer (Specialist)	1
4.	Unit Supervisors	1
F. Division of Information Technology		
1.	Deputy Director	7
2.	Assistant Deputy Director	7
3.	Supervisors	7
4.	Administrative Officer	3

DESIGNATED POSITIONS		DISCLOSURE CATEGORIES
G. Office of Information Management and Analysis		
1.	Director	1
2.	Assistant Director	1
3.	All Supervisors/Managers	1
4.	Administrative Officer	3
H. Division of Water Quality		
1.	Deputy Director	1
2.	Assistant Deputy Director(s)	1
3.	All Division Supervisors/Chiefs	1
4.	Administrative Officer	3
I. Division of Administrative Services		
1.	Deputy Director	2, 4
2.	Assistant Deputy Director	2, 4
3.	Branch Chiefs/Supervisors	2, 4
4.	Administrative Officer	3, 4
5.	Chief, Business Management and Support Branch	2, 4
6.	Filing Officer (defined in Government Code Section 82027)	8
7.	Associate Governmental Program Analyst (Procurement & Contracts Unit and Contracts Unit)	2
8.	Staff Services Analyst (Procurement & Contracts Unit and Contracts Unit)	2
J. Division of Water Rights		
1.	Deputy Director	1
2.	Assistant Deputy Director	1
3.	Supervisor, Permitting Section	1
4.	Supervisor, Enforcement Section	1
5.	Supervisor, Hearings and Special Project Section	1
6.	Supervisor, WQ Certification	1
7.	Supervisor, Administrative Support Unit	3
K. Division of Financial Assistance		
1.	Deputy Director	1
2.	Assistant Deputy Director	1
3.	All Section Supervisors/Chiefs	1

DESIGNATED POSITIONS		DISCLOSURE CATEGORIES
4.	All Unit Managers/Supervisors	1
5	All Unit Supervisors	1
L.	Office of the Chief Counsel	
1.	Chief Counsel	1
2.	All Staff Counsels	1
3.	Administrative Liaison	2,4
M.	Office of Public Affairs	
1.	Director	1
II.	REGIONAL WATER QUALITY CONTROL BOARDS	
A.	All Regional Board Members	1
B.	Region 1-North Coast	
1.	Executive Officer	1
2.	Assistant Executive Officer(s)	1
3.	All Division Chiefs/Supervisors (except Administrative Division)	1
4.	All Unit Supervisors	2, 4, 9
5.	Chief, Administrative Division	3,4
C.	Region 2-San Francisco Bay	
1.	Executive Officer	1
2.	Assistant Executive Officer(s)	1
3.	All Division Chiefs/Supervisors (except Management Services)	1
4.	All Section Leaders	2, 4, 9
5.	All Non-Supervising Seniors	2, 4, 9
6.	All Staff Environmental Scientists	2, 4, 9
7.	Chief, Management Services Division	2,4
D.	Region 3-Central Coast	
1.	Executive Officer	1
2.	Assistant Executive Officer	1
3.	All Section Managers	2, 4, 9
4.	All Unit Supervisors (except Administrative Services)	2, 4, 9
5.	Supervisor, Administrative Services Unit	3,4
E.	Region 4-Los Angeles	
1.	Executive Officer	1

DESIGNATED POSITIONS		DISCLOSURE CATEGORIES
2.	Chief Deputy Executive Officer	1
3.	Assistant Executive Officer(s)	1
4.	All Section Chiefs (except Administrative Services)	2, 4, 9
5.	All Unit Supervisors	2, 4, 9
6.	Watershed Coordinator	2, 4, 9
7.	Staff Environmental Scientist, Contaminated Sediment	2, 4, 9
8.	Senior Environmental Planner	2, 4, 9
9.	Staff Environmental Scientist, Basin Planning & TMDL Programs	2, 4, 9
10.	Staff Environmental Scientist, Santa Monica Bay Restoration Commission	2, 4, 9
11.	Chief, Administrative Services	3,4
F. Region 5-Central Valley		
1.	Executive Officer	1
2.	Assistant Executive Officer(s)	1
3.	All Section Supervisors (except Administrative Support Services/Technical Support)	1
4.	All Unit Seniors	2, 4, 9
5.	All Non-Supervising Seniors	2, 4, 9
6.	Supervisor(s), Administrative Support Services	3,4
G. Region 6-Lahontan		
1.	Executive Officer	1
2.	Assistant Executive Officer	1
4.	All Division Chiefs/Supervisors	1
5.	All Unit Supervisors (except Administrative Support Services)	1
6.	Regional Monitoring Coordinator	2, 4, 9
7.	Watershed Management Initiative Coordinator	2, 4, 9
8.	Supervisor, Administrative Support Services	3,4
H. Region 7-Colorado River Basin		
1.	Executive Officer	1
2.	Assistant Executive Officer	1
3.	All Division Supervisors/Chiefs	1
4.	All Section Supervisors (except Administrative and Support Services)	2, 4, 9

DESIGNATED POSITIONS		DISCLOSURE CATEGORIES
5.	Non-Supervising Senior (Specialist)	2, 4, 9
6.	Supervisor, Administrative Support Services	3,4
I. Region 8-Santa Ana		
1.	Executive Officer	1
2.	Assistant Executive Officer	1
3.	All Branch/Division Chiefs/Supervisors	1
4.	All Section Supervisors (except Administrative Support Services)	2, 4, 9
5.	Supervisor, Administrative Services	3,4
J. Region 9-San Diego		
1.	Executive Officer	1
2.	Assistant Executive Officer	1
3.	All Branch/Division Supervisors/ Chiefs	1
4.	All Unit Supervisors (Except Business Support Services)	1
5.	Senior Specialist, Monitoring and Surveillance	1
6.	Information Systems Analyst	7
7.	Supervisor, Business Support Services	2
K. Consultant		*

* Consultants shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director or Executive Officer of a region may determine in writing that a particular consultant, although a “designated position,” is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s or Executive Officer’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008.)

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APPENDIX B
DISCLOSURE CATEGORIES

Disclosure categories applied to members, officers and employees of Regional Water Quality Control Boards refer to the jurisdiction of the applicable Regional Water Quality Control Board as set forth in Water Code Sections 13200 and 13260(a). Disclosure categories applied to members, officers and employees of the State Water Resources Control Board refer to the jurisdiction of the State Water Resources Control Board, which is the entire State of California. Notwithstanding this limitation of disclosure categories, disclosure of a financial interest outside the jurisdiction of the applicable State or Regional Water Board (for example, the identity of the donor of certain gifts) may be required by statute or regulation.

Category 1

All investments and business positions in business entities, interests in real property and sources of income, including gifts, loans, and travel payments. This includes, but is not limited to, sources that are of the type to be regulated by the Board, as well as persons that apply for any license, permit, certificate, or registration issued by the Board.

Category 2

All investments, business positions in business entities, and sources of income, including gifts, loans, and travel payments, from entities of the type to contract with the State Water Board or a Regional Water Board to provide services, supplies, materials, machinery, or equipment.

Category 3

All investments, business positions in business entities, and sources of income, including gifts, loans, and travel payments, from entities of the type to contract with the designated position's Branch, Division or Unit.

Category 4

All interests in real property.

Category 5

All investments, business positions in business entities, and sources of income, including gifts, loans, and travel payments from entities of the type to hold or apply for a water right entitlement from the State Water Board. All interests in real property in which the application for a water right entitlement has been made, or for which a water right entitlement has been obtained.

Category 6

All investments, and business positions in business entities, and sources of income, including gifts, loans and travel payments, from, sources of the type, which provide research, planning or environmental impact reporting services related to water supply or water quality.

Category 7

All investments and business positions in business entities, and sources of income, including gifts, loans, and travel payments, from, information technology and telecommunications sources, of the type to contract with the State Board or a Regional Board, including but not limited to, computer hardware or software companies, computer consultant services, data processing firms, telephone and media services.

Category 8

All sources of income, including gifts, loans, and travel payments from any State Water Board or Regional Water Board Member or any individual designated in the conflict-of-interest code.

Category 9

All business positions in business entities, investments, and sources of income, including gifts, loans, and travel payments, from sources that are of the type to be regulated by the Board. Such sources include persons that apply for any license, permit, certificate, or registration issued by the Board.

This is the last page of the conflict-of-interest code for the **State Water Resources Control Board**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict-of-interest code for the **State Water Resources Control Board** was approved on February 16, 2012.

A handwritten signature in black ink, appearing to read "John Wallace".

John Wallace

Executive Director

Fair Political Practices Commission

By:

William J. Lenkeit

Senior Counsel, Legal Division

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date: April 5, 2012

Effective: May 5, 2012